

Local Ring at a Point of an Affine Algebraic Set and Zariski Topology

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Abstract

Subset H of affine n -space k^n is called an affine algebraic set if $H = V(J)$ for some ideal J of the polynomial ring $k[T_1, \dots, T_n]$. If x is an element of an affine algebraic set H , we define a set denoted by θ_x . In this paper we prove that θ_x is a ring, moreover it is a local ring of a point x . Also we define a topology on k^n which is called the Zariski topology on k^n .

Keywords: Affine algebraic set, Irreducible variety, Local ring, Coordinate ring, and Zariski topology.

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1 Introduction

The concept of algebraic sets plays an important role and forms the basic for a vast area of mathematics called Algebraic Geometry. In this section we give some basic definitions.

Definition 1.1 [2]. Let k be a field and let J be an ideal of $k[T_1, \dots, T_n]$. The set $V(J) = \{m = (x_1, \dots, x_n) \in k^n : f(x_1, \dots, x_n) = 0, \forall f \in J\}$ is called an affine algebraic set associated to the ideal J .

Example 1.2. Let $f \in k[T_1, \dots, T_n]$ and let $J = \langle f \rangle$ (ideal generated by f). Then

$$V(J) = \{m = (x_1, \dots, x_n) \in k^n : f(m) = 0\}.$$

If f is a polynomial of prime degree, then $V(J)$ is a hyperplane.

Definition 1.3. Let E be a subset of k^n , consider the set $I(E) = \{f \in k[T_1, \dots, T_n] : f(m) = 0, \forall m \in E\}$. It is easy to show that $I(E)$ is an ideal of $k[T_1, \dots, T_n]$.

The affine algebraic set $V(I(E))$ which associate to the ideal $I(E)$ is called the affine algebraic set generated by the part E . It is easy to verify that

$$I(V(I(E))) = I(E).$$

Definition 1.4 [2]. An affine algebraic set H is said to be irreducible variety if it cannot be written as union of two proper subsets. one cannot write $H = H_1 \cup H_2$ for some affine algebraic sets H_1, H_2 which are both proper subsets of H .

Counter example 1.5. Take $k = \mathbb{R}$, the set of solutions to $xy = 0$ is reducible variety because it is the union of the solutions to $x = 0$ and the solutions to $y = 0$.

2. Elementary Properties

In this section, we give some basic results which are essential in the sequel.

Proposition 2.1. Let J and J' be two ideals of $k[T_1, \dots, T_n]$. Then

- (a) $J \subseteq I(V(J))$
- (b) $J \subseteq J' \Rightarrow V(J') \subseteq V(J) \Rightarrow I(V(J)) \subseteq I(V(J'))$
- (c) $V(JJ') = V(J \cap J') = V(J) \cup V(J')$

Proposition 2.2. If $(J_\alpha)_\alpha$ be a family of ideals of $k[T_1, \dots, T_n]$. Then

$$V\left(\sum_{\alpha} J_{\alpha}\right) = \bigcap_{\alpha} V(J_{\alpha})$$

Remark 2.5. Every ascending chain $J_1 \subseteq J_2 \subseteq J_3 \subseteq \dots$ of ideals of $k[T_1, \dots, T_n]$ becomes stationary. Also every descending chain $H_1 \supseteq H_2 \supseteq H_3 \dots$ of affine algebraic sets of k^n becomes stationary.

Theorem 2.6 [1]. Let H be an algebraic set of k^n , then H is an irreducible variety if and only if $I(H)$ is a prime ideal of $k[T_1, \dots, T_n]$.

Remark 2.7. After Theorem 2.6 above we saw that, to every irreducible variety H we correspond a prime ideal $I(H)$. is the converse true? that is, to every prime ideal J of $k[T_1, \dots, T_n]$ we correspond an irreducible variety $V(J)$ such that $I(V(J)) = J$. The following counter example tell us that is not true.

Let $k = \mathbb{R}$ the field of real numbers, and let $F = T_1^2 + T_2^2 \in \mathbb{R}[T_1, T_2]$. Take J an ideal generated by F . We have

$$V(J) = \{m = (x_1, x_2) \in \mathbb{R}^2 : x_1^2 + x_2^2 = 0\} = \{0\},$$

and $I(V(J)) = I(0) = (T_1, T_2) \neq J$.

Proposition 2.8[5]. Every algebraic set is a uniquely finite union of irreducible varieties.

3 Local Ring at a Point of an Affine Variety and Zariski Topology.

Definition 3.1. Consider the affine space k^n , and let Ω be the set of the algebraic sets of k^n . We have

1. $\bigcap_{\alpha} V(I_{\alpha}) = V(\sum_{\alpha} I_{\alpha}) \in \Omega$, for each family $(I_{\alpha})_{\alpha}$.
2. $V(I_1) \cup V(I_2) = V(I_1 \cap I_2) = V(I_1, I_2) \in \Omega$,
3. $k^n = V(0) \in \Omega$,
4. $\emptyset = V(\{1\}) \in \Omega$.

So, we define a topology on k^n by taking the affine algebraic sets as the closed sets, this topology is called the Zariski topology on k^n .

The Zariski topology on k^n reflect the algebraic structure of $k[T_1, T_2, \dots, T_n]$.

Then if H is a subset of k^n , we have $H = V(I(H))$ if and only if H is a Zariski- closed set.

Let $H = V(I(H))$ be an affine algebraic set of k^n , we know that

$$I = I(H) = \{f \in k[T_1, \dots, T_n] : f(H) = 0\},$$

is an ideal of $k[T_1, \dots, T_n]$. It is obvious that

H is irreducible $\Leftrightarrow I(H)$ is a prime ideal [1] $\Leftrightarrow A = k[T_1, \dots, T_n] / I(H)$ is an integral domain [3].

The interpretation of the elements of A given by the following explaining: Let $p \in A$, p can be considered as a function $p : H = V(I) \rightarrow k$ defined by $p(x) = P(x_1, \dots, x_n)$, where P is an arbitrary representative of p .

This function is well defined because if P' is another representative of p , we have $P(x) - P'(x) = (P - P')(x) = 0$ because $P - P' \in I$. Hence $P(x) = P'(x)$.

Definition 3.2 [3]. The elements of the integral domain A above are called the polynomial functions.

For more explaining the interpretation of the elements of A , we know that a function $f : k^n \rightarrow k$ is said to be regular [5], if it can be written as a polynomial, that is, if there is a polynomial p in $k[T_1, T_2, \dots, T_n]$ such that $f(x_1, x_2, \dots, x_n) = p(x_1, x_2, \dots, x_n)$ for every point (x_1, x_2, \dots, x_n) of k^n .

Regular functions on affine n - space k^n are thus exactly the same as polynomials over k in n variables.

Just as continuous functions are the natural maps on Topological spaces, there is a natural class of functions on an algebraic set, called regular functions. A regular function on an algebraic set H contained in k^n is defined to be the restriction of a regular function on k^n .

Just as with the regular functions on affine space, the regular functions on H form a ring, which we denote by $k[H]$. This ring is called the coordinate ring of H . Since regular functions on H come from regular functions on k^n , there should be a relationship between their coordinate rings. Specifically, to get a function in $k[H]$ we took a function in $k[T_1, T_2, \dots, T_n]$, and we said that it was the same as another function if they gave the same values when evaluated on H . This is the same as saying that their difference is zero in H . From this we can see that $k[H]$ is the quotient $k[T_1, T_2, \dots, T_n] / I(H)$ which we denote by A .

Now we discuss the elements of the field of fractions of A , denoted by K ,

$$K = \left\{ \frac{p}{q} : p \in A, q \in A - \{0\} \right\}$$

An element φ of K interpreted also as a function $\varphi : H = V(I) \rightarrow k$ defined by

$$\varphi(x) = \frac{p(x)}{q(x)}$$

if we can write $\varphi = \frac{p}{q}$, with $q(x) \neq 0$, and it is not defined at a point x if

for each writing $\varphi = \frac{p}{q}$ we have $q(x) = 0$.

Let φ be an element of K defined at the point x , this implies that $\varphi = \frac{p}{q}$

such that $q(x) \neq 0$ and that $\varphi(x) = \frac{p(x)}{q(x)}$. The function φ is well defined

because if $\varphi = \frac{p'}{q'}$ is another writing such that $q'(x) \neq 0$. We have

$$p(x)q'(x) - p'(x)q(x) = (pq')(x) - (p'q)(x) = (pq' - p'q)(x) = 0$$

because $p q' - p' q \in I$, hence $\frac{p}{q} = \frac{p'}{q'}$.

Definition 3.3. The elements of the field K above are called algebraic functions.

Proposition 3.4 : Let $\varphi \in K$, the set

$$H' = \{x \in V(I) : \varphi(x) \text{ is undefined}\}$$

is an algebraic subset include strictly in $V(I) = H$; that is $H' \subset H$.

Proof : Consider the set

$$J = \{q \in A : q\varphi \in A\},$$

we have the following properties :

(i) J is an ideal of A (it is easy to show that).

(ii) $J - \{0\}$ is the set of the possible denominators of the φ because

$$q \in J - \{0\} \Rightarrow q\varphi = p \in A \Rightarrow \varphi = \frac{p}{q}, \text{ also } \varphi = \frac{p}{q} \Rightarrow q \in J - \{0\}.$$

(iii) $J \neq \{0\}$ because if φ was written as $\frac{p}{q}$ we have $q \neq 0$ and $q \in J$.

(iv) $A = \{\varphi : J = A\}$ because for an element of A , we have $J = A$ by the stability of the multiplication in the ring A , hence $A \subset \{\varphi : J = A\}$; also if $\varphi \in \{\varphi : J = A\}$, $1 \cdot \varphi = \varphi \in A$.

The sequence

$$\{0\} \rightarrow I \rightarrow k[T_1, \dots, T_n] \xrightarrow{s} A \rightarrow \{0\}$$

$\begin{matrix} J \\ \downarrow \end{matrix}$

is exact and $J \neq \{0\} \Rightarrow I \subset s^{-1}(J) \Rightarrow V(s^{-1}(J)) \subset V(I) = H$.

We need only show that $V(s^{-1}(J)) = H'$, for this let $x \in H'$, we have $x \in V(s^{-1}(J)) \Rightarrow \forall Q \in s^{-1}(J), Q(x) = 0 \Rightarrow \forall q \in J, q(x) = 0$, since J is the set of the possible denominators of φ ; then $\varphi(x)$ is undefined and $x \in H'$. Then $V(s^{-1}(J)) \subset H'$.

If $x \in H' \Rightarrow \varphi$ is not defined i.e. for each denominator possible q of φ , $q(x) = 0$, since J is the set of the denominators possible, then for each $q \in J, q(x) = 0$, i.e. for each representative Q of an element $q \in J, Q(x) = 0$; Since the set of the representatives of the elements of J is precisely $s^{-1}(J)$; hence for all $Q \in s^{-1}(J), Q(x) = 0$, from this we conclude that $x \in V(s^{-1}(J))$. By consequence we have well that $V(s^{-1}(J)) = H' \subset V(I) = H$.

Let $V = V(I)$ be an irreducible variety (I is a prime ideal), A be the integral domain $k[T_1, \dots, T_n] / I$ and let K be the set of algebraic functions of A . If x is an element of V we define the set

$$\theta_x = \{ \varphi \in K : \varphi(x) \text{ exists} \}$$

Theorem 3.5. The set θ_x is a local ring.

Proof : It is obvious that θ_x is a sub ring of K . To prove that θ_x is a local ring, define the set

$$\mathfrak{M}(\theta_x) = \{ \varphi \in K : \varphi(x) = 0 \} = \{ \varphi = \frac{p}{q} \in K : p(x) = 0 \wedge q(x) \neq 0 \}.$$

In fact $\mathfrak{M}(\theta_x)$ is the unique maximal ideal of the ring θ_x . before to prove that it is a maximal ideal, firstly we prove that the set $\theta_x - \mathfrak{M}(x)$ is the set of invertible elements of θ_x .

Let then $\varphi \in \theta_x - \mathfrak{M}(\theta_x)$; there exist a writing $\varphi = \frac{p}{q}$ such that

$\varphi(x) = \frac{p(x)}{q(x)}$ with $q(x) \neq 0$. As $\varphi \notin \mathfrak{M}(x)$, we have $p(x) \neq 0$. By

consequence there exist a writing $\varphi^{-1} = \frac{q}{p}$ such that $p(x) \neq 0, \varphi^{-1}$ exist

and φ^{-1} is an element of θ_x which is inverse of φ .

Now if φ is invertible in θ_x , there exist $\varphi' \in \theta_x$ such that $\varphi \varphi' = 1$, hence $\varphi \varphi'(x) = \varphi(x) \varphi'(x) = 1 \neq 0$ and since k is an integral domain $\varphi(x) \neq 0$, by consequence $\varphi \notin \mathfrak{M}(x)$.

Secondly, we prove that $\mathfrak{M}(x)$ is a maximal ideal. Let I be a non trivial ideal of θ_x such that $\mathfrak{M}(x) \subset I$; if this inclusion is strict, then the ideal I contains some invertible elements and we have $I = \theta_x$; this contradicts the hypotheses. Hence $\mathfrak{M}(\theta_x) = I$.

Finally, we prove that $\mathfrak{M}(\theta_x)$ is unique as a maximal ideal of θ_x . Let J be an another maximal ideal of θ_x , we have necessarily that $J \subseteq \mathfrak{M}(x)$, otherwise there exists $\varphi \in J - \mathfrak{M}(\theta_x) \subseteq \theta_x - \mathfrak{M}(\theta_x)$ which will be invertible, then we have $J = \mathfrak{M}(\theta_x)$ which is impossible. As J is a maximal ideal we have $J = \mathfrak{M}(\theta_x)$. By definition, θ_x is a local ring at a point x .

Remark 3.6. For each element x of $V = V(I)$, it is obvious that $\theta_x \supseteq A$. By consequence $A \subseteq \bigcap_{x \in V} \theta_x$. We can asked, is this inclusion strict? the

answer is yes because if we take

$$A = \mathbb{R}[X, Y] / (X^2 + Y^2 - 1) = \mathbb{R}[x, y],$$

where x, y are the classes of X, Y respectively, we have

$$\frac{1}{x-2} \in \bigcap_{x \in V} \theta_x \quad \text{and} \quad \frac{1}{x-2} \notin A.$$

Proposition 3.7. Let H be an algebraic set, then H is irreducible if and only if every finite intersection of nonempty open sets is a nonempty open set.

Proof : In fact H is irreducible if and only if H is not a finite union of strict closed sets and by taking the complementary , it is equivalent to say that the empty set is not a finite intersection of nonempty open sets , hence every finite intersection of such open sets is a nonempty open .

In return to the local ring $\theta_x = \{\varphi \in K : \varphi(x) \text{ is defined} \}$ of a point x of irreducible variety V .

Let now U be a nonempty open set of V . We name

$\Gamma(U, \mathcal{O}_V)$ the set of defined algebraic functions on U . Then

$$\Gamma(U, \mathcal{O}_V) = \{\varphi \in K : \varphi \text{ is defined on } U\}$$

we have immediately the following properties :

(i) $\Gamma(U, \mathcal{O}_V) = \bigcap_{x \in U} \theta_x$

(ii) if $U = V$ we have $A \subseteq \Gamma(U, \mathcal{O}_V)$

Definition 3.8 [6]. Let $H = V(I)$ and $H' = V(I')$ be two algebraic sets . The application $\varphi: H \rightarrow H'$ is said to be a morphism from H to H' if for each $f' \in A' = k[T'_1, \dots, T'_m] / I'$, $f' \circ \varphi \in A = k[T_1, \dots, T_n] / I$.

Remark 3.9. Let $\varphi: H \rightarrow H'$ be a morphism from the irreducible variety H to the irreducible variety H' . We can considered the application

$$\circ\varphi: A' \rightarrow A \text{ defined by}$$

$$(\circ\varphi)(f') = f' \circ \varphi$$

It is easy to verify that $\circ\varphi$ is a homomorphism of k – algebras , that is

$$(f'_1 + f'_2) \circ \varphi = f'_1 \circ \varphi + f'_2 \circ \varphi \text{ and } (f'_1 \cdot f'_2) \circ \varphi = (f'_1 \circ \varphi) \cdot (f'_2 \circ \varphi)$$

So, to a morphism of varieties φ , we have doing a corresponding homomorphism of k – algebras $\circ\varphi$. Is the converse possible ?

Let $\phi: A' \rightarrow A$ be a homomorphism of k – algebras, we have

$$\phi: k[T'_1, \dots, T'_m] / I' \rightarrow k[T_1, \dots, T_n] / I ,$$

let t'_1, \dots, t'_m be the classes modulo T'_1, \dots, T'_m respectively , then we have the functional interpretation

$$t'_j : H' \rightarrow k \text{ defined by}$$

$$t'_j(x) = x'_j , \text{ where } x = (x'_1, \dots, x'_m)$$

Suppose that $\phi(t'_j) = \varphi_j$, the φ_j can be considered as functions

$$\varphi_j : H \rightarrow k \text{ defined by}$$

$$\varphi_j(x) = \Phi_j$$

where Φ_j is a representative of φ_j in $k[T_1, \dots, T_n]$.

Now we define the application $\varphi: H \rightarrow H'$ by

$$\varphi(x) = (\varphi_1(x), \varphi_2(x), \dots, \varphi_m(x)) \in k^m.$$

(1) Is $\varphi(x) \in H'$?

Let F' be a polynomial belong to I' , we want to show that

$$F'(\varphi(x)) = F'((\varphi_1(x), \dots, \varphi_m(x))) = F'(\Phi_1(x), \dots, \Phi_m(x)) = 0, \quad \text{we}$$

have

$$F' = \sum \lambda_{j_1 \dots j_m} T_1^{j_1} \dots T_m^{j_m}$$

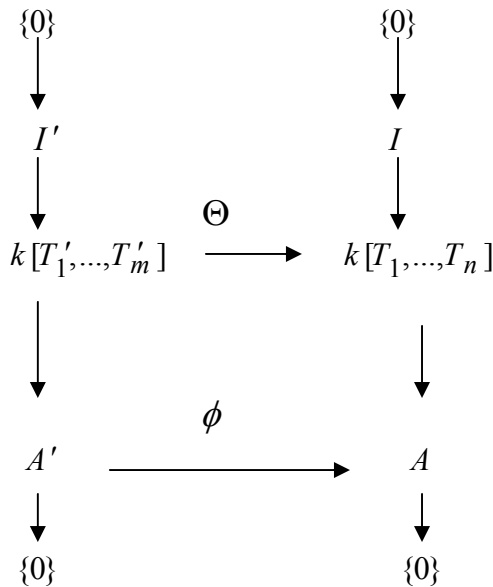
then

$$\begin{aligned} F'(\Phi_1(x), \dots, \Phi_m(x)) &= \sum \lambda_{j_1 \dots j_m} \Phi_1^{j_1}(x_1, \dots, x_n) \dots \Phi_m^{j_m}(x_1, \dots, x_n) \\ &= (\sum \lambda_{j_1 \dots j_m} \Phi_1^{j_1} \dots \Phi_m^{j_m})(x_1, \dots, x_n) \end{aligned}$$

Consider the application $\Theta: k[T'_1, \dots, T'_m] \rightarrow k[T_1, \dots, T_n]$ defined by

$$\Theta(T'_j) = \Phi_j,$$

we have the following commutative diagram



This diagram allowed us to verify that an element F' of I' is such that $s'(F') = 0$, then $\phi \circ s'(F') = 0 = s \circ \Theta(F')$, that is $\Theta(F') \in I$.

$$\text{So that } F'(\Phi_1(x), \dots, \Phi_m(x)) = (\sum \lambda_{j_1 \dots j_m} \Phi_1^{j_1} \dots \Phi_m^{j_m})(x_1, \dots, x_n)$$

$$= \Theta(F')(x_1, \dots, x_n) = 0$$

hence $F'(\varphi(x)) = 0$ and $\varphi(x) \in H'$.

(ii) Is φ a morphism of algebraic sets ?

The answer is yes because each component function is continuous ,since it is a polynomial function .

(iii) Is $\circ\varphi = \phi$?

Let $f' \in A'$, is $f' \circ \varphi \in A$? we have the following figure

$$\begin{array}{ccc} & \varphi & \\ H & \rightarrow & H' \\ & \downarrow f' & \\ & k & \end{array}$$

where φ is given by $\varphi(x_1, \dots, x_n) = \varphi(x) = (\Phi_1(x), \dots, \Phi_m(x))$.

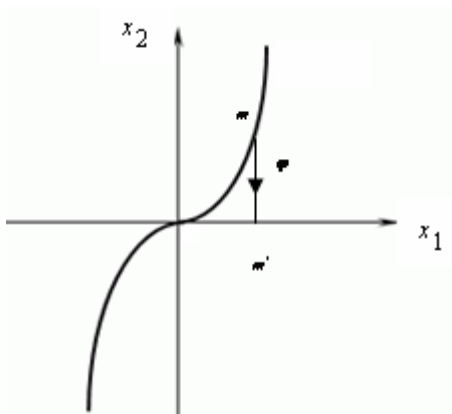
As f' is an element of A' , we have

$$f' = \sum \lambda_{j_1 \dots j_m} t_1^{j_1} \dots t_m^{j_m} , \text{ hence } f' \circ \varphi(x) = f'(\varphi_1(x), \dots, \varphi_m(x))$$

$$\begin{aligned} f' \circ \varphi(x) &= \sum \lambda_{j_1 \dots j_m} \varphi_1^{j_1}(x_1, \dots, x_n) \dots \varphi_m^{j_m}(x_1, \dots, x_n) \\ &= (\sum \lambda_{j_1 \dots j_m} \varphi_1^{j_1} \dots \varphi_m^{j_m})(x_1, \dots, x_n) \\ &= \phi(f')(x) , \text{ because we saw that } \phi(t'_j) = \varphi_j \end{aligned}$$

By consequence $f' \circ \varphi = \phi(f')$ belong to A and we have proved that $\circ\varphi = \phi$.

We can conclude that the application $\varphi \mapsto \circ\varphi$ is a bijection from the set $\text{Mor}(H, H')$ of morphism of the irreducible variety H into the irreducible variety H' , onto the set $\text{Hom}(A', A)$ of corresponding k – algebras.



Example 3.8 : $\varphi: V(X_2 - X_1^3) \rightarrow V(X_2)$

$$o\varphi: k[X_1, X_2]/X_2 \rightarrow k[X_1, X_2]/(X_2 - X_1^3)$$

$$X_1 \mapsto o\varphi(X_1) = X_1$$

Now we discuss the continuity of a morphism of algebraic sets . Let $\varphi: H = V(I) \rightarrow H' = V(I')$ be a morphism of algebraic sets . The Zariski topology of k^n induce topological structures on H and H' . We show that φ is a continuous application .

Firstly we remember that , to φ there is a corresponding homomorphism of k – algebras

$$\phi: A' = k[T'_1, \dots, T'_m]/I' \rightarrow k[T_1, \dots, T_n]/I \text{ defined by}$$

$$f' \mapsto \phi(f') = f' \circ \varphi$$

and if we suppose that $\phi(t'_j) = t'_j \circ \varphi = \varphi_j$ we reconstruct an application

$$\Theta: k[T'_1, \dots, T'_m] \rightarrow k[T_1, \dots, T_n] \text{ defined by}$$

$$T'_j \mapsto \phi(T'_j) = \Phi_j \text{ a representative of } \varphi_j .$$

It is evident that $\varphi(x) = (\varphi_1(x), \dots, \varphi_m(x))$.

Now let $H'_1 = V'(J')$ be a closed set of H' ; we need to show that $\varphi^{-1}(H'_1)$ is a closed set of H , that is an algebraic set .

We show that $\varphi^{-1}(H'_1) = V((\Theta(J')))$:

Let $x \in \varphi^{-1}(H'_1) = \varphi^{-1}(V'(J'))$, $\forall F \in (\Theta(J'))$, $F = \sum_{i \in I \text{ finite}} F_i \Theta(F'_i)$ with $\forall i, F'_i \in J'$, $F(x) = \sum_i F_i \Theta(F'_i(x))$,

$$\Theta(F'_i)(x) = F'_i(\Phi_1(x), \dots, \Phi_m(x)) = F'_i(\varphi_1(x), \dots, \varphi_m(x)) = F'_i(\varphi(x)) ,$$

where and as $\varphi(x)$ belong to H'_1 and F'_i belong to J' , we have $F'_i(\varphi(x)) = 0$. By consequence $F(x) = 0$ and $x \in V((\Theta(J')))$. Then $\varphi^{-1}(H'_1) \subseteq V((\Theta(J')))$.

Conversely let $x \in V((\Theta(J')))$. For every F' be an element of J' , $\Theta(F')(x) = 0$ because $\Theta(F') \in \Theta(J')$ where $\Theta(F')(x) = F'(\varphi(x))$; then $\varphi(x) \in H'_1$ that is $x \in \varphi^{-1}(H'_1)$. Consequently, $V((\Theta(J'))) = \varphi^{-1}(H'_1)$ and the application φ is continuous because the inverse image of a closed set of H' is a closed set of H .

4 Conclusion and Open Problem

Having defined the local ring θ_x which will play an important role in Abstract Algebra. This is a suitable point for us to introduce the concept of localization. The local ring θ_x defined above as a subring of the function field K . This paper is about algebraic variety and local ring at a point x of an affine algebraic variety H . The question is, does exist a general notion of an Abstract Algebraic Variety? If yes, what is its local ring?

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